

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)

Docket No.  
PH-01-01-03

In Re Application Of: Murali Krishnaswamy et al.

Serial No.  
10/038,880

Filing Date  
12/31/01

Examiner  
Unassigned

Group Art Unit  
2633

Invention: WAVELENGTH AND PATH ASSIGNMENT IN WAVELENGTH DIVISION MULTIPLEXED  
RING NETWORKS

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

2/7/02

Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ not required verified small entity declaration(s)
  - ☐ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☒ Other (list):

Recordation Form Cover Sheet, Patents Only  
Assignment in favor of Photuris, Inc.  
Transmittal of Information Disclosure Statement  
Information Disclosure Citation, Form PTO-1449  
1 copy of 1 US Patent

Docket No.  
**PH-01-01-03**

APR 15 2002

Group Art Unit  
2633

**Attention: Manager, Application Branch**

- ☒ Completion of application fees as calculated below:

- |   |                             |
|---|-----------------------------|
| <input checked="" type="checkbox"/> Utility application filing fee  | <u>\$370.00</u>             |
| <input type="checkbox"/> Design application filing fee  | <u>                    </u> |
| <input checked="" type="checkbox"/> Total number of independent claims = <u>1</u>   | <u>                    </u> |
| <input checked="" type="checkbox"/> Total number of claims = <u>14</u>  | <u>                    </u> |
| <input type="checkbox"/> Multiple dependent claims  | <u>                    </u> |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | <u>\$65.00</u>              |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor               | <u>                    </u> |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification                      | <u>                    </u> |
| <input type="checkbox"/> Fee for processing and retention of application  | <u>                    </u> |

Total completion of application fees	\$435.00
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This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

- ☐ One month      ☐ Two months      ☐ Three months      ☐ Four months      ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

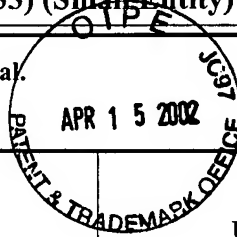
Total time extension fees

**Total fees due                      \$435.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

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Group Art Unit  
2633

Invention: **WAVELENGTH AND PATH ASSIGNMENT IN WAVELENGTH DIVISION MULTIPLEXED  
RING NETWORKS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of \$435.00 is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1047  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-1047  
A duplicate copy of this sheet is enclosed.

A handwritten signature in black ink, appearing to read "Stuart H. Mayer".

Signature

Stuart H. Mayer Reg. No. 35,277  
Mayer Fortkort & Williams, PC  
251 North Avenue West, 2nd Floor  
Westfield, NJ 07090

Tel.: 908-518-7700

Dated: 4/4/02

I certify that this document and fee is being deposited  
on 4/5/02 with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

A handwritten signature in black ink, appearing to read "Marjorie Scariati".

Signature of Person Mailing Correspondence

Marjorie Scariati

Typed or Printed Name of Person Mailing Correspondence

CC:



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/038,880	12/31/2001	Murali Krishnaswamy	PH01-01-03

27774

MAYER, FORTKORT & WILLIAMS, PC  
251 NORTH AVENUE WEST  
2ND FLOOR  
WESTFIELD, NJ 07090



CONFIRMATION NO. 6440

## FORMALITIES LETTER



\*OC000000007442593\*

Date Mailed: 02/07/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 435.

*A copy of this notice **MUST** be returned with the reply.*

*C. Vorachaw*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

002 STEUNEL1 00000003 501047 10038880

1 370.00 CH  
5 65.00 CH

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

PH01-01-03

In Re Application Of: **Murali Krishnaswamy et al.**

APR 15 2002

Serial No.

10/038,880

Filing Date

12/31/01

Examiner

Unassigned

Group Art Unit

2633

**Title: WAVELENGTH AND PATH ASSIGNMENT IN WAVELENGTH DIVISION MULTIPLEXED  
RING NETWORKS**

Address to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231****37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

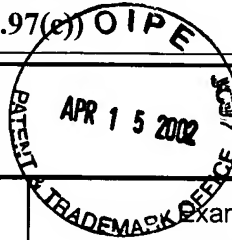
2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.  
PH01-01-03

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**WAVELENGTH AND PATH ASSIGNMENT IN WAVELENGTH DIVISION MULTIPLEXED  
RING NETWORKS****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**I certify that this document and fee is being deposited  
4/5/02 with the U.S. Postal Service  
as first class mail under 37 C.F.R. 1.8 and is  
addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Marjorie Scariati

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by  
deposit account.

Signature

Dated: 4/4/02

Stuart H. Mayer Reg. No. 35,277  
Mayer Fortkort & Williams, PC  
251 North Avenue West, 2nd Floor  
Westfield, NJ 07090

Tel.: 908-518-7700

CC: